

## BrokerCheck Report

### BRETT WIDNEY HOGE

CRD# 3256688

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our [investor alert](#) on imposters.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

[For more information about FINRA, visit www.finra.org.](http://www.finra.org)

**BRETT W. HOGE**

CRD# 3256688

**Currently employed by and registered with the following Firm(s):**

**B TRUIST INVESTMENT SERVICES, INC.**  
 110 S STRATFORD RD  
 FL 5  
 WINSTON-SALEM, NC 27104  
 CRD# 17499  
 Registered with this firm since: 02/17/2021

**IA TRUIST ADVISORY SERVICES, INC.**  
 110 S STRATFORD RD  
 FL 5  
 WINSTON-SALEM, NC 27104  
 CRD# 283390  
 Registered with this firm since: 02/17/2021

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications****This broker is registered with:**

- 1 Self-Regulatory Organization
- 36 U.S. states and territories

**This broker has passed:**

- 2 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History****This broker was previously registered with the following securities firm(s):**

- B BB&T SECURITIES, LLC**  
 CRD# 142785  
 RICHMOND, VA  
 01/2013 - 02/2021
- IA BB&T SECURITIES, LLC**  
 CRD# 142785  
 RICHMOND, VA  
 01/2013 - 02/2021
- B SCOTT & STRINGFELLOW, LLC**  
 CRD# 6255  
 WINSTON-SALEM, NC  
 12/2008 - 01/2013

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



## Broker Qualifications

### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

**This individual is currently registered with 1 SRO and is licensed in 36 U.S. states and territories through his or her employer.**

### Employment 1 of 2

Firm Name: **TRUIST ADVISORY SERVICES, INC.**  
 Main Office Address: **303 PEACHTREE CENTER AVENUE,  
 TRUIST GARDEN OFFICES SUITE 140  
 ATLANTA, GA 30303**  
 Firm CRD#: **283390**

	U.S. State/ Territory	Category	Status	Date
IA	North Carolina	Investment Adviser Representative	Approved	02/17/2021
IA	Texas	Investment Adviser Representative	Restricted Approval	04/15/2021

### Branch Office Locations

This individual does not have any registered Branch Office where the individual is located.

### Employment 2 of 2

Firm Name: **TRUIST INVESTMENT SERVICES, INC.**  
 Main Office Address: **303 PEACHTREE CENTER AVE  
 SUITE 140  
 ATLANTA, GA 30303**  
 Firm CRD#: **17499**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	02/17/2021
B	FINRA	General Securities Sales Supervisor	Approved	02/17/2021



## Broker Qualifications

### Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	Alabama	Agent	Approved	02/17/2021
B	Arizona	Agent	Approved	02/17/2021
B	Arkansas	Agent	Approved	08/03/2022
B	California	Agent	Approved	02/17/2021
B	Colorado	Agent	Approved	02/09/2022
B	Connecticut	Agent	Approved	02/17/2021
B	Delaware	Agent	Approved	02/01/2022
B	District of Columbia	Agent	Approved	02/17/2021
B	Florida	Agent	Approved	02/17/2021
B	Georgia	Agent	Approved	02/17/2021
B	Hawaii	Agent	Approved	02/17/2021
B	Idaho	Agent	Approved	02/17/2021
B	Illinois	Agent	Approved	02/17/2021
B	Indiana	Agent	Approved	02/17/2021
B	Kansas	Agent	Approved	02/17/2021
B	Kentucky	Agent	Approved	02/17/2021
B	Maryland	Agent	Approved	02/17/2021
B	Massachusetts	Agent	Approved	02/17/2021
B	Minnesota	Agent	Approved	02/17/2021
B	Mississippi	Agent	Approved	02/17/2021
B	Missouri	Agent	Approved	02/17/2021



## Broker Qualifications

### Employment 2 of 2, continued

	U.S. State/ Territory	Category	Status	Date
B	New Hampshire	Agent	Approved	07/02/2021
B	New York	Agent	Approved	02/17/2021
B	North Carolina	Agent	Approved	02/17/2021
B	Ohio	Agent	Approved	02/17/2021
B	Oklahoma	Agent	Approved	02/17/2021
B	Pennsylvania	Agent	Approved	02/17/2021
B	South Carolina	Agent	Approved	02/17/2021
B	Tennessee	Agent	Approved	02/17/2021
B	Texas	Agent	Approved	02/17/2021
B	Utah	Agent	Approved	02/17/2021
B	Vermont	Agent	Approved	02/17/2021
B	Virginia	Agent	Approved	02/17/2021
B	Washington	Agent	Approved	02/17/2021
B	West Virginia	Agent	Approved	02/17/2021
B	Wisconsin	Agent	Approved	02/17/2021

### Branch Office Locations

**TRUIST INVESTMENT SERVICES, INC.**  
 110 S STRATFORD RD  
 FL 5  
 WINSTON-SALEM, NC 27104



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

**This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
<b>B</b> General Securities Sales Supervisor - Options Module Examination	Series 9	06/17/2003
<b>B</b> General Securities Sales Supervisor - General Module Examination	Series 10	05/08/2003

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> Futures Managed Funds Examination	Series 31	09/15/2011
<b>B</b> General Securities Representative Examination	Series 7	07/21/1999

### State Securities Law Exams

Exam	Category	Date
<b>IA</b> Uniform Investment Adviser Law Examination	Series 65	12/31/1999
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	07/27/1999

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).



## Broker Qualifications

## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.





## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 01/2013 - 02/2021	BB&T SECURITIES, LLC	142785	RICHMOND, VA
<b>IA</b> 01/2013 - 02/2021	BB&T SECURITIES, LLC	142785	RICHMOND, VA
<b>B</b> 12/2008 - 01/2013	SCOTT & STRINGFELLOW, LLC	6255	WINSTON-SALEM, NC
<b>IA</b> 12/2008 - 01/2013	SCOTT & STRINGFELLOW, LLC	6255	WINSTON-SALEM, NC
<b>B</b> 06/2002 - 12/2008	WACHOVIA SECURITIES, LLC	19616	CLEMMONS, NC
<b>IA</b> 06/2002 - 12/2008	WACHOVIA SECURITIES, LLC	19616	CLEMMONS, NC
<b>IA</b> 12/2001 - 07/2002	INDEPENDENT ADVISERS GROUP CORP	106684	WINSTON-SALEM, NC
<b>B</b> 07/1999 - 05/2002	JEFFERSON PILOT SECURITIES CORPORATION	3870	FORT WAYNE, IN

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
02/2021 - Present	TRUIST ADVISORY SERVICES, INC.	Financial Advisor	Y	ATLANTA, GA, United States
02/2021 - Present	TRUIST INVESTMENT SERVICES, INC.	Financial Advisor	Y	WINSTON-SALEM, NC, United States
01/2013 - 02/2021	BB&T SECURITIES, LLC	Financial Advisor	Y	WINSTON-SALEM, NC, United States
12/2008 - 02/2021	SCOTT & STRINGFELLOW	INVESTMENT ADVISOR	Y	WINSTON-SALEM, NC, United States



## Registration and Employment History

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

#### HOGE BROTHERS LLC

POSITION: Managing Partner NATURE: Hunting and recreational property INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2016  
ADDRESS: Advance NC United States DESCRIPTION: LLC that owns hunting property

#### LVH ALS Foundation

POSITION: Founder and President NATURE: Non-Profit to raise funds for ALS research. INVESTMENT RELATED: No NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 0 START DATE: 01/25/2014  
ADDRESS: Advance NC

#### CLEMMONS COMMUNITY FOUNDATION

POSITION: Board Member NATURE: CCF carries out fundraising activities with an emphasis on seeking bequests and planned charitable gifts INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 07/01/2018  
ADDRESS: Clemmons NC

#### SHIRLEY J. MYERS

POSITION: Successor Healthcare Power of Attorney NATURE: Brett was asked by a long time family friend, who became a client approximately 18 years ago, to serve as her Successor HPOA. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 10/01/2019  
ADDRESS: Clemmons NC

#### JDRF PIEDMONT TRIAD CHAPTER

POSITION: Board Member NATURE: JDRF works to change the reality of the Type 1 diabetes disease for millions of people INVESTMENT RELATED: No NUMBER OF HOURS: 6 SECURITIES TRADING HOURS: 0 START DATE: 07/01/2020  
ADDRESS: Greensboro NC

#### RIVER OAK FARM LLC

POSITION: Managing Member/Owner NATURE: LLC owns hunting and farm land INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 05/02/2013  
ADDRESS: 121 Grasslands Court, Advance NC  
DESCRIPTION: Passive LLC that owns hunting and farm land for recreational purposes only.

#### CENTERS OF AGING AND REHABILITATION OF FLORIDA, INC

POSITION: Board Member NATURE: Board Member (Director) Limited to the operation and maintenance of public not for profit hospitals, nursing homes, homes for aged, and rehabilitation centers INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 09/16/2020  
ADDRESS: Miami FL

#### RGH & BRH LLC



## Registration and Employment History

### Other Business Activities, continued

POSITION: Managing Member NATURE: I am gifting shares of Pneuma which is a private placement I invested in several years ago  
INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2021  
ADDRESS: , Advance NC , United States  
DESCRIPTION: Managing Member

#### BOD INVESTORS LLC

POSITION: Managing Member NATURE: This is an LLC to invest \$\$ in Ascend Medical which has already been approved for an add on addition.  
INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/29/2021  
ADDRESS: , Advance NC , United States  
DESCRIPTION: Purchased \$ in Ascend Medical

#### BOD INVESTORS II

POSITION: Member NATURE: A Pass Through LLC set up to invest in a follow up round Series B investment via a SAFE Document at a 20% discount. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 12/15/2021  
ADDRESS: , Advance NC , United States  
DESCRIPTION: I am the Managing Member and in charge of filling out the paperwork for the investment.

#### SACKS PARENTE GOLF, INC

POSITION: Board Member NATURE: Golf Equipment Company INVESTMENT RELATED: Yes NUMBER OF HOURS: 20 SECURITIES TRADING HOURS: 10 START DATE: 03/15/2022  
ADDRESS: Camarillo CA , United States  
DESCRIPTION: They have asked me to be on their public board. Strategic advice to leadership from financial activities, operations, potential acquisitions, Exec Comp etc.

#### SKAITUBE

POSITION: Advisor Board NATURE: SkaiTube is a Si-Fi Gaming company INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 03/01/2022  
ADDRESS: , Orlando FL , United States  
DESCRIPTION: Guidance, advice, & assistance on events

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## End of Report



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